

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1954-401

First Inventor

John J. ROSSI et al.

Title

METHODS AND KITS FOR SYNTHESIS OF siRNA
EXPRESSION CASSETTES

☒ Applicant for Published Patent Application:

City of Hope
Duarte, California USA

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (WITHOUT PAYMENT)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification Total pages [32]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) (Total Sheets) [9]
5. ☐ Oath or Declaration (Total Pages) []
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
- ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 6449

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Signature



Date

7/31/03

15915 U.S. PRO
07/31/03

**FEE TRANSMITTAL
for FY 2003
(Small Entity)**

Complete if Known

Application Number	1954-401
Filing Date	Herewith
First Named Inventor	John J. ROSSI et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned

Total Amount of Payment (\$)**0.00**

Attorney Docket Number 1954-401

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
2. ☐ Payment Enclosed:
☐ Check
☐ Credit Card

FEE CALCULATION

1. FILING FEE

Code	Fee	Fee Description	Fee Paid
2001	375	Utility filing fee	[375.00]
2002	165	Design Filing Fee	[]
2003	260	Plant Filing Fee	[]
2004	375	Reissue Filing Fee	[]
2005	80	Provisional Filing Fee	[]
SUBTOTAL			\$ 375.00

2. CLAIMS

	Extra Claims	Fee	Fee Paid
Total Claims [29] - 20** = [9] x		\$ 9 =	[81]
Independent Claims [4] - 3** = [1] x		42 =	[42]
Multiple Dependent Claims +		140 =	[]

**or number previously paid, if greater,

SUBTOTAL \$ 123.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
2051	65	Surcharge - late filing fee or oath	[]
2052	25	Surcharge - late provisional filing fee or cover sheet	[]
1053	130	Non-English specification	[]
1812	2,520	For filing a request for reexamination	[]
1804	920	Requesting publication of SIR prior to Examiner action	[]
1805	1,840*	Requesting publication of SIR after Examiner action	[]
2251	55	Extension for reply within first month	[]
2252	205	Extension for reply within second month	[]
2253	465	Extension for reply within third month	[]
2254	725	Extension for reply within fourth month	[]
2255	985	Extension for reply within fifth month	[]
2401	160	Notice of Appeal	[]
2402	160	Filing a brief in support of an appeal	[]
2403	150	Request for Oral Hearing	[]
1451	1,510	Petition to institute a public use proceeding	[]
2452	55	Petition to revive -unavoidable	[]
2453	650	Petition to revive - unintentional	[]
2501	650	Utility issue fee (or reissue)	[]
2502	235	Design issue fee	[]
2503	315	Plant issue fee	[]
1460	130	Petitions to the Commissioner	[]
1807	50	Processing fee under 37 CFR 1.17(q)	[]
1806	180	Submission of Information Disclosure Statement	[]
8021	40	Recording each patent assignment per property (times number of properties)	[]
2809	375	Filing a submission after final rejection (37 CFR .129(a))	[]
2810	375	For each additional invention to be examined (37 CFR 1.129(b))	[]
2801	375	Request for Continued Examination (RCE)	[]
1802	900	Request for expedited examination of a design application	[]
1504	300	Publication fee for early, voluntary, or normal publication	[]
1505	300	Publication fee for republication	[]
1455	200	Filing an application for patent term adjustment	[]
1456	400	Request for reinstatement of term reduced	[]
Other fee (specify)			[]

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Michael G. Sullivan, Reg. No. 35,377		
SIGNATURE	DATE	DEPOSIT ACCOUNT USER ID	02-2135